

## Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4\*\* (Sept. 2005)

Licensee Name: <b>Feazell, David</b>	UI Number:
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TOPIC	27 CFR	Page Number
<b>1. REQUIRED RECORDS &amp; REPORTS</b>		
<input checked="" type="checkbox"/> Acquisition and Disposition Record	478.125(e) & ATF Ruling 2008-2	67
<input checked="" type="checkbox"/> Transaction Record/ATF F 4473**	478.124	64-66
<input checked="" type="checkbox"/> Report of Multiple Handgun Sales/ATF F 3310.4**	478.126a	69
<input checked="" type="checkbox"/> Reporting Thefts or Losses/ATF F 3310.11**	478.39a	45
<input checked="" type="checkbox"/> Retention of Records	478.129	69-70
<b>2. CONDUCT OF BUSINESS</b>		
<input checked="" type="checkbox"/> NICS Requirements	478.102 & 478.131	55-56 & 70
<input checked="" type="checkbox"/> Secure Gun Storage or Safety Device	921(a), 922(z), & 923(d)(1)(G)	8 & 16-18
<input checked="" type="checkbox"/> Child Safety Lock Act	18 U.S.C. 922(Z)	16-17
<input checked="" type="checkbox"/> Sales or Deliveries between Licensees	478.94 & 478.95	53
<input checked="" type="checkbox"/> FFL EZ Check	www.atfonline.gov/fflezcheck	
<input checked="" type="checkbox"/> Gun Show Guidelines**	478.100	55
<input checked="" type="checkbox"/> Out of State/Mail Order and Internet Sales	478.96	53
<input checked="" type="checkbox"/> Prohibited Sales and Deliveries	478.99	54-55
<input checked="" type="checkbox"/> Sales to Law Enforcement Officers	478.134	70-71
<input checked="" type="checkbox"/> Sales to Resident/Non-resident Aliens/ATF P 5300.18**	General Info. # 5	157
<input checked="" type="checkbox"/> Youth Handgun Safety Act (YHSA)-Sales of handguns	18 U.S.C. 922(x)	15-16
<input checked="" type="checkbox"/> Youth Handgun Safety Act Poster and Notices**	18 U.S.C. 922(x) & 478.103	15-16 & 56-57
<input checked="" type="checkbox"/> Obliterated Serial Number	478.34	44
<b>3. LICENSES</b>		
<input checked="" type="checkbox"/> Correction of Error	478.48	49
<input checked="" type="checkbox"/> Posting of License	478.91	51
<input checked="" type="checkbox"/> Renewal/Duration	478.45 & 478.49	48 & 49
<input checked="" type="checkbox"/> Premises Covered	478.50	49
<input checked="" type="checkbox"/> Reporting Changes of Address /ATF F 5300.38**	478.52	49
<input checked="" type="checkbox"/> Reporting Changes in Trade Name	478.53	49
<input checked="" type="checkbox"/> Reporting Changes of Control	478.54	49
<input checked="" type="checkbox"/> Discontinuance of Business	478.57 & 478.127	50 & 69
<b>4. MISCELLANEOUS PROVISIONS</b>		
<input checked="" type="checkbox"/> Right of Entry and Examination	478.23	41
<input checked="" type="checkbox"/> Tracing Request from ATF	478.25a	42
<input checked="" type="checkbox"/> "Straw" Purchase	478.128 & General Info. # 15	69 & 166
<input checked="" type="checkbox"/> Compliance with State Law Publication / ATF P 5300.5**	478.24	41-42
<input checked="" type="checkbox"/> Consignment of Firearms	478.124(a), Q&A section F15,	64 & 183-184
<input checked="" type="checkbox"/> Personal Firearms / ATF P 3312.8**	478.125a	68
<input checked="" type="checkbox"/> Firearm Transportation	478.38	45
<b>5. DEFINITIONS</b>		
<input checked="" type="checkbox"/> Engaged in the Business	478.11	37
<input checked="" type="checkbox"/> Firearm Frame or Receiver	478.11	37
<input checked="" type="checkbox"/> Identification Document	478.11	38
<input checked="" type="checkbox"/> Curios or Relics / ATF P 5300.11**	478.11	36-37
<input checked="" type="checkbox"/> Antique Firearm	478.11	36
<input checked="" type="checkbox"/> Short Barreled Rifle & Shotgun	478.11	40
<input checked="" type="checkbox"/> Ammunition	478.11	36
<b>6. STATE LAWS AND LOCAL ORDINANCES</b>		
<input checked="" type="checkbox"/> Review of basic concepts including licenses, waiting periods, concealed carry permits.		
<b>7. GUNSMITH ACTIVITIES</b>		
<input type="checkbox"/> Gunsmithing	N/A	
<input type="checkbox"/> Gunsmith Recordkeeping	478.124(a), Q&A section I	64 & 185
<input type="checkbox"/> Return of Repaired Firearm	478.124(a) & .125(e), ATF Ruling 77-1	64, 67 & 126
<input type="checkbox"/> Firearms & Ammunition Excise Tax - Contact TTB	478.124(a), Q&A section I	64 & 185
	www.ttb.gov/firearms	877-882-3277

\*\* Provide a copy of the form and explain the requirements to completing the form.

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## Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4\*\* (Sept. 2005)

Licensee Name: <b>Frazier, David</b>	UI Number:
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TOPIC	27 CFR	Page Number
<b>8. FIREARMS &amp; AMMUNITION MANUFACTURERS</b>	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Manufacturer Definition	478.11 and 479.11	38 & 83
<input type="checkbox"/> Markings	478.92 & 479.102	51-53 & 92-93
<input type="checkbox"/> Records	478.123 and 478.125(i)	64 & 68
<input type="checkbox"/> Annual Firearms Manufacturing & Exportation Report / ATF P 5300.11**		
<input type="checkbox"/> Firearms & Ammunition Excise Tax-Contact TTB	www.ttb.gov/firearms	877-882-3277
<input type="checkbox"/> NFA Firearms	479.103	93
<input type="checkbox"/> Special Occupational Tax / ATF F5630.7**	479.32-479.37	85-87
<b>9. NFA DEALER</b>	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Authorized Operations	ATF Ruling 76-22	126
<input type="checkbox"/> NFA Firearms	Part 479	80-98
<input type="checkbox"/> General Information / ATF P 5320.8**	Q&A section M11 & M12	188
<input type="checkbox"/> Forms used to transfer NFA items	NFA Forms 1,2,3,4 & 5**	
<input type="checkbox"/> Special Occupational Tax / ATF F5330.20**	479.32-479.37	85-87
<b>10. IMPORTER</b>	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Importer Definition	478.11	38
<input type="checkbox"/> Importation Acquisition & Disposition Record	478.122 and 478.125(i)	63-64 & 68
<input type="checkbox"/> Markings	478.92 & 479.102	51-53 & 92-93
<input type="checkbox"/> ATF Forms 6 and 6A**	478.112	58-59
<input type="checkbox"/> Firearm & Ammunition Excise Tax-Contact TTB	www.ttb.gov/firearms	877-882-3277
<input type="checkbox"/> NFA Firearms	479.111-479.113	94-96
<b>11. EXPORTATION</b>	N/A <input checked="" type="checkbox"/>	
Arms Export Control Act of 1976	Part 447	99-101
<b>12. SCHOOL ZONE</b>	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> If the FFL's premises falls within 1000 feet of a school zone, advise the FFL that firearms in possession of FFL's customers must be unloaded and placed in a locked container, or a locked firearms rack that is on a motor vehicle. Also, advise the FFL of specific means that they may use to ensure that their customers are not in violation of 18 U.S.C. 922(q). For example, advise the customer of State permits/license options or the use of lockable containers.	ATF P 5310.15**	
<b>13. GENERAL QUESTIONS AND ANSWERS</b>		170-202

Investigator

Eileen J. Valls, IOI

explained this information to me on

3/14/12

and answered my questions regarding this information. I have received a copy of this for my records as a reference. I understand that this is only a general overview of the regulations and that I will be responsible for familiarizing myself with all of the laws and regulations governing my licensed firearms business.

Applicant's/Licensee's Signature and Date

ATF Investigator Signature and Date

ATF Website: www.atf.gov

ATF Office Contact #: ( )

## Additional ATF Publications\*\*

ATF P 3317.2 - Safety Security Information for Federal Firearms Licensees

ATF P 5300.15 - Federal Firearms Licensee Quick Reference and Best Practices Guide

Revised 09/01/10

Federal Firearms Licensing Center 866-662-2750

Firearms Tracing Center 800-788-7133

Firearms Imports Branch 304-616-4550

National Firearms Act (NFA) Branch 304-616-4500

Firearms Technology Branch 304-260-1699

Firearms Industry Programs Branch 202-648-7190

REPORTING THEFTS 888-930-9275

800-800-3855